APPNOTE.TXT - .ZIP File Format Specification File:

Version: 5.2 - NOTIFICATION OF CHANGE Revised: 06/02/2003

Copyright (c) 1989 - 2003 PKWARE Inc., All Rights Reserved.

Disclaimer

Although PKWARE will attempt to supply current and accurate information relating to its file formats, algorithms, and the subject programs, the possibility of error or omission can not be eliminated. PKWARE therefore expressly disclaims any warranty that the information contained in the associated materials relating to the subject programs and/or the format of the files created or accessed by the subject programs and/or the algorithms used by the subject programs, or any other matter, is current, correct or accurate as delivered. Any risk of damage due to any possible inaccurate information is assumed by the user of the information. Furthermore, the information relating to the subject programs and/or the file formats created or accessed by the subject programs and/or the algorithms used by the subject programs is subject to change without notice.

If the version of this file is marked as a NOTIFICATION OF CHANGE, the content defines an Early Feature Specification (EFS) change to the ZIP file format that may be subject to modification prior to publication of the Final Feature Specification (FFS). document may also contain information on Planned Feature Specifications (PFS) defining recognized future extensions.

General Format of a .ZIP file

Files stored in arbitrary order. Large .ZIP files can span multiple diskette media or be split into user-defined segment sizes.

Overall .ZIP file format:

[local file header 1] [file data 1] [data descriptor 1]

[local file header n] [file data n] [data descriptor n] [central directory] [zip64 end of central directory record] [zip64 end of central directory locator] [end of central directory record]

A. Local file header:

(0x04034b50)local file header signature 4 bytes 2 bytes version needed to extract general purpose bit flag 2 bytes 2 bytes 2 bytes compression method last mod file time last mod file date 2 bytes Page 1

crc-32 4 bytes
compressed size 4 bytes
uncompressed size 4 bytes
file name length 2 bytes
extra field length 2 bytes

file name (variable size) extra field (variable size)

B. File data

Immediately following the local header for a file is the compressed or stored data for the file. The series of [local file header][file data][data descriptor] repeats for each file in the .ZIP archive.

C. Data descriptor:

crc-32 4 bytes compressed size 4 bytes uncompressed size 4 bytes

This descriptor exists only if bit 3 of the general purpose bit flag is set (see below). It is byte aligned and immediately follows the last byte of compressed data. This descriptor is used only when it was not possible to seek in the output .ZIP file, e.g., when the output .ZIP file was standard output or a non seekable device. For Zip64 format archives, the compressed and uncompressed sizes are 8 bytes each.

D. Central directory structure:

[file header 1]
.
.
[file header n]
[digital signature]

File header:

central file header signature 4 bytes version made by 2 bytes 2 bytes version needed to extract 2 bytes 2 bytes 2 bytes 2 bytes 2 bytes general purpose bit flag compression method last mod file time last mod file date crc-32 4 bytes compressed size 4 bytes uncompressed size 4 bytes 2 bytes 2 bytes file name length extra field length file comment length 2 bytes disk number start 2 bytes internal file attributes 2 bytes external file attributes 4 bytes relative offset of local header 4 bytes

file name (variable size)
extra field (variable size)
file comment (variable size)

(0x02014b50)

Digital signature:

header signature 4 bytes (0x05054b50) size of data 2 bytes signature data (variable size)

E. Zip64 end of central directory record

zip64 end of central dir 4 bytes (0x06064b50) signature siže of zip64 end of central directory record 8 bytes version made by 2 bytes version needed to extract 2 bytes number of this disk 4 bytes number of the disk with the start of the central directory 4 bytes total number of entries in the central directory on this disk 8 bytes total number of entries in the central directory 8 bytes size of the central directory 8 bytes offset of start of central directory with respect to the starting disk number 8 bytes zip64 extensible data sector (variable size)

F. Zip64 end of central directory locator

zip64 end of central dir locator
signature 4 bytes (0x07064b50)
number of the disk with the
start of the zip64 end of
central directory 4 bytes
relative offset of the zip64
end of central directory record 8 bytes
total number of disks 4 bytes

G. End of central directory record:

end of central dir signature 4 bytes (0x06054b50)2 bytes number of this disk number of the disk with the start of the central directory 2 bytes total number of entries in the 2 bytes central directory on this disk total number of entries in the central directory 2 bytes size of the central directory 4 bytes offset of start of central directory with respect to the starting disk number .ZIP file comment length 4 bytes 2 bytes .ZIP file comment (variable size)

H. Explanation of fields:

version made by (2 bytes)

The upper byte indicates the compatibility of the file attribute information. If the external file attributes are compatible with MS-DOS and can be read by PKZIP for DOS version 2.04g then this value will be zero. If these Page 3

attributes are not compatible, then this value will identify the host system on which the attributes are compatible. Software can use this information to determine the line record format for text files etc. The current mappings are:

```
O - MS-DOS and OS/2 (FAT / VFAT / FAT32 file systems)
1 - Amiga 2 - OpenVMS
 3 - Unix
                                  4 - VM/CMS
 5 - Atari ST
                                  6 - OS/2 H.P.F.S.
 7 - Macintosh
                                  8 - Z-System
 9 - CP/M
                                 10 - Windows NTFS
11 - MVS (OS/390 - Z/OS)
                                 12 - VSE
13 - Acorn Risc
                                 14 - VFAT
15 - alternate MVS
                                 16 - BeOS
                                 18 - os/400
17 - Tandem
19 thru 255 - unused
```

The lower byte indicates the version number of the software used to encode the file. The value/10 indicates the major version number, and the value mod 10 is the minor version number.

version needed to extract (2 bytes)

The minimum software version needed to extract the file, mapped as above. For Zip64 format archives, this value should not be less than 45.

general purpose bit flag: (2 bytes)

Bit 0: If set, indicates that the file is encrypted.

(For Method 6 - Imploding)

Bit 1: If the compression method used was type 6, Imploding, then this bit, if set, indicates an 8K sliding dictionary was used. If clear, then a 4K sliding dictionary was used.

(For Methods 8 and 9 - Deflating)

Bit 2 Bit 1

- 0 Normal (-en) compression option was used.
- 0 1 Maximum (-exx/-ex) compression option was used.
- 1 0 Fast (-ef) compression option was used.
- 1 Super Fast (-es) compression option was used.

Note: Bits 1 and 2 are undefined if the compression method is any other.

Bit 3: If this bit is set, the fields crc-32, compressed size and uncompressed size are set to zero in the local header. The correct values are put in the data descriptor immediately following the compressed data. (Note: PKZIP version 2.04g for DOS only recognizes this bit for method 8 compression, newer versions of PKZIP recognize this bit for any compression method.)

- Bit 4: Reserved for use with method 8, for enhanced deflating.
- Bit 5: If this bit is set, this indicates that the file is compressed patched data. (Note: Requires PKZIP version 2.70 or greater)
- Bit 6: Strong encryption. If this bit is set, you should set the version needed to extract value to at least 50 and you must also set bit 0. If AES encryption is used, the version needed to extract value must be at least 51.
- Bit 7: Currently unused.
- Bit 8: Currently unused.
- Bit 9: Currently unused.
- Bit 10: Currently unused.
- Bit 11: Currently unused.
- Bit 12: Reserved by PKWARE for enhanced compression.
- Bit 13: Reserved by PKWARE.
- Bit 14: Reserved by PKWARE.
- Bit 15: Reserved by PKWARE.

compression method: (2 bytes)

(see accompanying documentation for algorithm descriptions)

- 0 The file is stored (no compression)
- 1 The file is Shrunk
- 2 The file is Reduced with compression factor 1 3 The file is Reduced with compression factor 2 4 The file is Reduced with compression factor 3
- 5 The file is Reduced with compression factor 4
- 6 The file is Imploded
- 7 Reserved for Tokenizing compression algorithm
- 8 The file is Deflated
 9 Enhanced Deflating using Deflate64(tm)
- 10 PKWARE Data Compression Library Imploding
- 11 Reserved by PKWARE
- 12 File is compressed using BZIP2 algorithm

date and time fields: (2 bytes each)

The date and time are encoded in standard MS-DOS format. If input came from standard input, the date and time are those at which compression was started for this data.

CRC-32: (4 bytes)

The CRC-32 algorithm was generously contributed by David Schwaderer and can be found in his excellent book "C Programmers Guide to NetBIOS" published by Howard W. Sams & Co. Inc. The 'magic number' for the CRC is 0xdebb20e3. The proper CRC pre and post Page 5

conditioning is used, meaning that the CRC register is pre-conditioned with all ones (a starting value of 0xffffffff) and the value is post-conditioned by taking the one's complement of the CRC residual. If bit 3 of the general purpose flag is set, this field is set to zero in the local header and the correct value is put in the data descriptor and in the central directory.

compressed size: (4 bytes)
uncompressed size: (4 bytes)

The size of the file compressed and uncompressed, respectively. If bit 3 of the general purpose bit flag is set, these fields are set to zero in the local header and the correct values are put in the data descriptor and in the central directory. If an archive is in zip64 format and the value in this field is Oxffffffff, the size will be in the corresponding 8 byte zip64 extended information extra field.

file name length: (2 bytes)
extra field length: (2 bytes)
file comment length: (2 bytes)

The length of the file name, extra field, and comment fields respectively. The combined length of any directory record and these three fields should not generally exceed 65,535 bytes. If input came from standard input, the file name length is set to zero.

disk number start: (2 bytes)

The number of the disk on which this file begins. If an archive is in zip64 format and the value in this field is OxFFFF, the size will be in the corresponding 4 byte zip64 extended information extra field.

internal file attributes: (2 bytes)

The lowest bit of this field indicates, if set, that the file is apparently an ASCII or text file. If not set, that the file apparently contains binary data. The remaining bits are unused in version 1.0.

Bits 1 and 2 are reserved for use by PKWARE.

external file attributes: (4 bytes)

The mapping of the external attributes is host-system dependent (see 'version made by'). For MS-DOS, the low order byte is the MS-DOS directory attribute byte. If input came from standard input, this field is set to zero.

relative offset of local header: (4 bytes)

This is the offset from the start of the first disk on which this file appears, to where the local header should be found. If an archive is in zip64 format and the value in this field is Oxffffffff, the size will be in the corresponding 8 byte zip64 extended information extra field.

file name: (variable)

The name of the file, with optional relative path. The path stored should not contain a drive or device letter, or a leading slash. All slashes should be forward slashes '/' as opposed to backwards slashes '\' for compatibility with Amiga and Unix file systems etc. If input came from standard input, there is no file name field.

extra field: (Variable)

This is for expansion. If additional information needs to be stored for special needs or for specific platforms, it should be stored here. Earlier versions of the software can then safely skip this file, and find the next file or header. This field will be 0 length in version 1.0.

In order to allow different programs and different types of information to be stored in the 'extra' field in .ZIP files, the following structure should be used for all programs storing data in this field:

header1+data1 + header2+data2 . . .

Each header should consist of:

Header ID - 2 bytes Data Size - 2 bytes

Note: all fields stored in Intel low-byte/high-byte order.

The Header ID field indicates the type of data that is in the following data block.

Header ID's of 0 thru 31 are reserved for use by PKWARE. The remaining ID's can be used by third party vendors for proprietary usage.

The current Header ID mappings defined by PKWARE are:

0x0001	ZIP64 extended information extra field
0×0007	AV Info
0x0008	Reserved for future Unicode file name data (PFS)
0x0009	os/2
0x000a	NTFS
0x000c	OpenVMS
0x000d	Unix
0x000f	Patch Descriptor
0x0014	PKCS#7 Store for X.509 Certificates
0x0015	X.509 Certificate ID and Signature for
••	individual file
0x0016	X.509 Certificate ID for Central Directory
0x0017	Strong Encryption Header_
0x0018	Record Management Controls
0x0065	IBM S/390 (Z390), AS/400 (I400) attributes
	- uncompressed
0x0066	IBM S/390 (Z390), AS/400 (I400) attributes
•••	- compressed

Third party mappings commonly used are:

0x2605	ZipIt Macintosh
0×2705	ZipIt Macintosh 1.3.5+
0x07c8	Macintosh
0x2805	ZipIt Macintosh 1.3.5+
0x334d	Info-ZIP Macintosh
0x4341	Acorn/SparkFS
0x4453	windows NT security descriptor (binary ACL)
0×4704	VM/CMS
0x470f	MVS
0x4b46	FWKCS MD5 (see below)
0x4c41	OS/2 access control list (text ACL)
0x4d49	Info-ZIP OpenVMS
0x4f4c	xceed original location extra field
0x5356	AOS/VS (AČL)
0x5455	extended timestamp
0x554e	xceed unicode extra field
0x5855	<pre>Info-ZIP Unix (original, also OS/2, NT, etc)</pre>
0x6542	BeOS/BeBox
0x756e	ASi Únix
0x7855	Info-ZIP Unix (new)
0xfd4a	SMS/QDOS
UNIUTA	3/13/ 4 503

Detailed descriptions of Extra Fields defined by third party mappings will be documented as information on these data structures is made available to PKWARE. PKWARE does not guarantee the accuracy of any published third party data.

The Data Size field indicates the size of the following data block. Programs can use this value to skip to the next header block, passing over any data blocks that are not of interest.

Note: As stated above, the size of the entire .ZIP file header, including the file name, comment, and extra field should not exceed 64K in size.

In case two different programs should appropriate the same Header ID value, it is strongly recommended that each program place a unique signature of at least two bytes in size (and preferably 4 bytes or bigger) at the start of each data area. Every program should verify that its unique signature is present, in addition to the Header ID value being correct, before assuming that it is a block of known type.

-OS/2 Extra Field:

The following is the layout of the OS/2 attributes "extra" block. (Last Revision 09/05/95)

Note: all fields stored in Intel low-byte/high-byte order.

	Value	Size	Description
(os/2)	0x0009	2 bytes	Tag for this "extra" block type Size for the following data block
	TSize	2 bytes	Size for the following data block
	BSize	4 bytes	Uncompressed Block Size
	СТуре	2 bytes	Compression type
	EACRC	4 bytes variable	CRC value for uncompress block
	(var)	variable	Compressed block

The OS/2 extended attribute structure (FEA2LIST) is compressed and then stored in it's entirety within this structure. There will only ever be one "block" of data in VarFields[].

-UNIX Extra Field:

The following is the layout of the Unix "extra" block. Note: all fields are stored in Intel low-byte/high-byte order.

	Value	Size	Description
		~	
(UNIX)	OxOOOd TSize Atime Mtime Uid Gid (var)	2 bytes 2 bytes 4 bytes 4 bytes 2 bytes 2 bytes variable	Tag for this "extra" block type Size for the following data block File last access time File last modification time File user ID File group ID Variable length data field
	` '		3

The variable length data field will contain file type specific data. Currently the only values allowed are the original "linked to" file names for hard or symbolic links, and the major and minor device node numbers for character and block device nodes. Since device nodes cannot be either symbolic or hard links, only one set of variable length data is stored. Link files will have the name of the original file stored. This name is NOT NULL terminated. Its size can be determined by checking TSize - 12. Device entries will have eight bytes stored as two 4 byte entries (in little endian format). The first entry will be the major device number, and the second the minor device number.

-OpenVMS Extra Field:

The following is the layout of the OpenVMS attributes "extra" block.

Note: all fields stored in Intel low-byte/high-byte order.

(VMS)	Value 0x000c TSize CRC Tag1 Size1 (var.)	Size 2 bytes 2 bytes 4 bytes 2 bytes 2 bytes 5 ize1	Description Tag for this "extra" block type Size of the total "extra" block 32-bit CRC for remainder of the block OpenVMS attribute tag value #1 Size of attribute #1, in bytes Attribute #1 data
	TagN SizeN (var.)	2 bytes 2 bytes SizeN	OpenVMS attribute tage value #N Size of attribute #N, in bytes Attribute #N data

Rules:

 There will be one or more of attributes present, which will each be preceded by the above TagX & SizeX values. These values are identical to the ATR\$C_XXXX and Page 9

ATR\$S_XXXX constants which are defined in ATR.H under OpenVMS C. Neither of these values will ever be zero.

- 2. No word alignment or padding is performed.
- 3. A well-behaved PKZIP/OpenVMS program should never produce more than one sub-block with the same TagX value. Also, there will never be more than one "extra" block of type 0x000c in a particular directory record.

-NTFS Extra Field:

The following is the layout of the NTFS attributes "extra" block. (Note: At this time the Mtime, Atime and Ctime values may be used on any WIN32 system.)

Note: all fields stored in Intel low-byte/high-byte order.

	Value	Size	Description
(NTFS)	0x000a TSize Reserved Tag1 Size1 (var.)	2 bytes 2 bytes 4 bytes 2 bytes 2 bytes 5ize1	Tag for this "extra" block type Size of the total "extra" block Reserved for future use NTFS attribute tag value #1 Size of attribute #1, in bytes Attribute #1 data
	•		
	TagN SizeN (var.)	2 bytes 2 bytes SizeN	NTFS attribute tag value #N Size of attribute #N, in bytes Attribute #N data

For NTFS, values for Tag1 through TagN are as follows: (currently only one set of attributes is defined for NTFS)

Tag	Size	Description
0x0001	2 bytes	Tag for attribute #1
Size1	2 bytes	Size of attribute #1, in bytes
Mtime	8 bytes	File last modification time
Atime	8 bytes	File last access time
Ctime	8 bytes	File creation time

-PATCH Descriptor Extra Field:

The following is the layout of the Patch Descriptor "extra" block.

Note: all fields stored in Intel low-byte/high-byte order.

	Value	Size	Description
(Patch)	0x000f	2 bytes	Tag for this "extra" block type
	TSize	2 bytes	Size of the total "extra" block
	Version	2 bytes	Version of the descriptor
	Flags	4 bytes	Actions and reactions (see below)
	oldšize	4 bytes	Size of the file about to be patched
	oldcrc	4 bytes	32-bit CRC of the file to be patched
	NewSize		Size of the resulting file
	NewCRC	4 bytes	32-bit CRC of the resulting file

Actions and reactions

```
Bits
                       Description
                       Use for autodetection
       0
                       Treat as selfpatch
       1
                       RESERVED
       2-3
                       Action (see below)
        4-5
                       RESERVED
        6-7
                       Reaction (see below) to absent file
Reaction (see below) to newer file
Reaction (see below) to unknown file
        8-9
        10-11
        12-13
                       RESERVED
        14-15
                       RESERVED
        16-31
        Actions
                      value
        Action
                      0
        none
                      1
        add
                      2
        delete
        patch
        Reactions
                      Value
        Reaction
                      0
        ask
        skip
                      1 2 3
        ignore
        fail
        Patch support is provided by PKPatchMaker(tm) technology and is
        covered under U.S. Patents and Patents Pending.
       -PKCS#7 Store for X.509 Certificates:
        Note: all fields stored in Intel low-byte/high-byte order.
                             Description
        Value
                   Size
                             Tag for this "extra" block type
                   2 bytes
(Store) 0x0014
                             Size of the store data
                   2 bytes
        TSize
                             Data about the store
                   TSize
        (var)
       -x.509 Certificate ID and Signature for individual file:
        Note: all fields stored in Intel low-byte/high-byte order.
                             Description
        value
                    Size
                             Tag for this "extra" block type
        0x0015
                    2 bytes
                             Size of data that follows
                    2 bytes
        TSize
                    TSize
         (var)
                             Data
       -X.509 Certificate ID and Signature for central directory:
        Note: all fields stored in Intel low-byte/high-byte order.
                             Description
        Value
                    Size
                             Tag for this "extra" block type
(CDID) 0x0016
                    2 bytes
                                        Page 11
```

(CID)

Appnote.txt 2 bytes Size of data that follows TSize

TSize Data (var)

-Strong Encryption Header (EFS):

Value 	Size	Description
0x0017 TSize Format AlgID Bitlen Flags (var)	2 bytes 2 bytes 2 bytes 2 bytes 2 bytes 2 bytes TSize	Tag for this "extra" block type Size of data that follows Format definition for this record Encryption algorithm identifier Bit length of encryption key Processing flags Reserved for future certificate data

-Record Management Controls:

(Rec-CTL)	Value 0x0018 CSize Tag1 Size1 Data	Size 2 bytes 2 bytes 2 bytes 2 bytes 5 bytes 5 izel	Description Tag for this "extra" block type Size of total extra block data Record control attribute 1 Size of attribute 1, in bytes Attribute 1 data
	TagN SizeN Data	2 bytes 2 bytes SizeN	Record control attribute N Size of attribute N, in bytes Attribute N data

-MVS Extra Field:

The following is the layout of the MVS "extra" block. Note: Some fields are stored in Big Endian format. All text is in EBCDIC format unless otherwise specified.

	∨alue	Size	Description
(MVS)	0x0065 TSize	2 bytes 2 bytes	Tag for this "extra" block type Size for the following data block
	ID	4 bytes	EBCDIC "Z390" 0xE9F3F9F0 or "T4MV" for TargetFour
	(var)	TSize-4	Attribute data

-OS/400 Extra Field:

The following is the layout of the OS/400 "extra" block. Note: Some fields are stored in Big Endian format. All text is in EBCDIC format unless otherwise specified.

	Value	Size	Description
(os400)	0x0065 TSize	2 bytes 2 bytes	Tag for this "extra" block type Size for the following data block
		4 bytes	EBCDIC "1400" 0xC9F4F0F0 or
	ID	4 bytes	"T4MV" for TargetFour
	(var)	TSize-4	Attribute data

-ZipIt Macintosh Extra Field (long): Page 12

The following is the layout of the ZipIt extra block for Macintosh. The local-header and central-header versions are identical. This block must be present if the file is stored MacBinary-encoded and it should not be used if the file is not stored MacBinary-encoded.

	Value	Size	Description
(Mac2)	0x2605	Short	tag for this extra block type
	TSize	Short	total data size for this block
	"ZPIT"	beLong	extra-field signature
	FnLen	Byte	length of FileName
	FileName	variable	full Macintosh filename
	FileType	Byte[4]	four-byte Mac file type string
	Creator	Byte[4]	four-byte Mac creator string

-ZipIt Macintosh Extra Field (short, for files):

The following is the layout of a shortened variant of the ZipIt extra block for Macintosh (without "full name" entry). This variant is used by ZipIt 1.3.5 and newer for entries of files (not directories) that do not have a MacBinary encoded file. The local-header and central-header versions are identical.

	Value	Size	Description
(Mac2b)	TSize	Short Short	tag for this extra block type total data size for this block (12)
	"ZPIT"	beLong_	extra-field signature
	FileType	Byte[4]	four-byte Mac file type string
	Creator	Byte[4]	four-byte Mac creator string
	fdFlags	beShort	attributes from FInfo.frFlags, may be omitted
	0x0000	beShort	reserved, may be omitted

-ZipIt Macintosh Extra Field (short, for directories):

The following is the layout of a shortened variant of the ZipIt extra block for Macintosh used only for directory entries. This variant is used by ZipIt 1.3.5 and newer to save some optional Mac-specific information about directories. The local-header and central-header versions are identical.

	Value	Size	Description
(Mac2c)	0x2805 TSize "ZPIT" frFlags	Short Short beLong beShort	tag for this extra block type total data size for this block (12) extra-field signature attributes from DInfo.frFlags, may be omitted
	View	beShort	ZipIt view flag, may be omitted

The View field specifies ZipIt-internal settings as follows:

```
Bits of the Flags:

bit 0

if set, the folder is shown expanded (open)

when the archive contents are viewed in ZipIt.

bits 1-15

reserved, zero;
```

-ZIP64 Extended Information Extra Field:

The following is the layout of the ZIP64 extended information "extra" block. If one of the size or offset fields in the Local or Central directory record is too small to hold the required data, a ZIP64 extended information record is created. The order of the fields in the ZIP64 extended information record is fixed, but the fields will only appear if the corresponding Local or Central directory record field is set to OXFFFF or OXFFFFFFF.

Note: all fields stored in Intel low-byte/high-byte order.

	Value	Size	Description
(ZIP64)	0x0001 Size Original	2 bytes 2 bytes	Tag for this "extra" block type Size of this "extra" block
	Size	8 bytes	Original uncompresseed file size
	Compressed Size	8 bytes	Size of compressed data
	Relative H		Offset of local header record
	Offset Disk Start	8 bytes	orrset or rotal header record
	Number	4 bytes	Number of the disk on which this file starts

This entry in the Local header must include BOTH original and compressed file sizes.

-FWKCS MD5 Extra Field:

The FWKCS Contents_Signature System, used in automatically identifying files independent of file name, optionally adds and uses an extra field to support the rapid creation of an enhanced contents_signature:

```
Header ID = 0x4b46
Data Size = 0x0013
Preface = 'M','D','5'
followed by 16 bytes containing the uncompressed file's
128_bit MD5 hash(1), low byte first.
```

When FWKCS revises a .ZIP file central directory to add this extra field for a file, it also replaces the central directory entry for that file's uncompressed file length with a measured value.

FWKCS provides an option to strip this extra field, if present, from a .ZIP file central directory. In adding this extra field, FWKCS preserves .ZIP file Authenticity Verification; if stripping this extra field, FWKCS preserves all versions of AV through PKZIP version 2.04g.

FWKCS, and FWKCS Contents_Signature System, are trademarks of Frederick W. Kantor.

(1) R. Rivest, RFC1321.TXT, MIT Laboratory for Computer Science and RSA Data Security, Inc., April 1992. 11.76-77: "The MD5 algorithm is being placed in the public domain for review and possible adoption as a Page 14

standard."

file comment: (Variable)

The comment for this file.

number of this disk: (2 bytes)

The number of this disk, which contains central directory end record. If an archive is in zip64 format and the value in this field is 0xFFFF, the size will be in the corresponding 4 byte zip64 end of central directory field.

number of the disk with the start of the central directory: (2 bytes)

The number of the disk on which the central directory starts. If an archive is in zip64 format and the value in this field is OxFFFF, the size will be in the corresponding 4 byte zip64 end of central directory field.

total number of entries in the central dir on this disk: (2 bytes)

The number of central directory entries on this disk. If an archive is in zip64 format and the value in this field is OxFFFF, the size will be in the corresponding 8 byte zip64 end of central directory field.

total number of entries in the central dir: (2 bytes)

The total number of files in the .ZIP file. If an archive is in zip64 format and the value in this field is 0xFFFF, the size will be in the corresponding 8 byte zip64 end of central directory field.

size of the central directory: (4 bytes)

The size (in bytes) of the entire central directory. If an archive is in zip64 format and the value in this field is OxfFFFFFFFF, the size will be in the corresponding 8 byte zip64 end of central directory field.

offset of start of central directory with respect to the starting disk number: (4 bytes)

Offset of the start of the central directory on the disk on which the central directory starts. If an archive is in zip64 format and the value in this field is OxFFFFFFFF, the size will be in the corresponding 8 byte zip64 end of central directory field.

.ZIP file comment length: (2 bytes)

The length of the comment for this .ZIP file.

.ZIP file comment: (Variable)

The comment for this .ZIP file.

zip64 extensible data sector (variable size)

(currently reserved for use by PKWARE)

I. General notes:

- 1) All fields unless otherwise noted are unsigned and stored in Intel low-byte:high-byte, low-word:high-word order.
- 2) String fields are not null terminated, since the length is given explicitly.
- 3) Local headers should not span disk boundaries. Also, even though the central directory can span disk boundaries, no single record in the central directory should be split across disks.
- 4) The entries in the central directory may not necessarily be in the same order that files appear in the .ZIP file.
- Spanned/split archives created using PKZIP for Windows (V2.50 or greater), PKZIP Command Line (V2.50 or greater), or PKZIP Explorer will include a special spanning signature as the first 4 bytes of the first segment of the archive. This signature (0x08074b50) will be followed immediately by the local header signature for the first file in the archive. A special spanning marker may also appear in spanned/split archives if the spanning or splitting process starts but only requires one segement. In this case the 0x08074b50 signature will be replaced with the temporary spanning marker signature of 0x30304b50. Spanned/split archives created with this special signature are compatible with all versions of PKZIP from PKWARE. Split archives can only be uncompressed by other versions of PKZIP that know how to create a split archive.
- 6) If one of the fields in the end of central directory record is too small to hold required data, the field should be set to -1 (Oxffff or Oxfffffff) and the Zip64 format record should be created.
- 7) The end of central directory record and the Zip64 end of central directory locator record must reside on the same disk when splitting or spanning an archive.

UnShrinking - Method 1

Shrinking is a Dynamic Ziv-Lempel-Welch compression algorithm with partial clearing. The initial code size is 9 bits, and the maximum code size is 13 bits. Shrinking differs from conventional Dynamic Ziv-Lempel-Welch implementations in several respects:

The code size is controlled by the compressor, and is not automatically increased when codes larger than the current code size are created (but not necessarily used). When Page 16

the decompressor encounters the code sequence 256 (decimal) followed by 1, it should increase the code size read from the input stream to the next bit size. No blocking of the codes is performed, so the next code at the increased size should be read from the input stream immediately after where the previous code at the smaller bit size was read. Again, the decompressor should not increase the code size used until the sequence 256,1 is encountered.

2) When the table becomes full, total clearing is not performed. Rather, when the compressor emits the code sequence 256,2 (decimal), the decompressor should clear all leaf nodes from the Ziv-Lempel tree, and continue to use the current code size. The nodes that are cleared from the Ziv-Lempel tree are then re-used, with the lowest code value re-used first, and the highest code value re-used last. The compressor can emit the sequence 256,2 at any time.

Expanding - Methods 2-5

The Reducing algorithm is actually a combination of two distinct algorithms. The first algorithm compresses repeated byte sequences, and the second algorithm takes the compressed stream from the first algorithm and applies a probabilistic compression method.

The probabilistic compression stores an array of 'follower sets' S(j), for j=0 to 255, corresponding to each possible ASCII character. Each set contains between 0 and 32 characters, to be denoted as S(j)[0],...,S(j)[m], where m<32. The sets are stored at the beginning of the data area for a Reduced file, in reverse order, with S(255) first, and S(0) last.

The sets are encoded as $\{N(j), S(j)[0], \ldots, S(j)[N(j)-1]\}$, where N(j) is the size of set S(j). N(j) can be 0, in which case the follower set for S(j) is empty. Each N(j) value is encoded in 6 bits, followed by N(j) eight bit character values corresponding to S(j)[0] to S(j)[N(j)-1] respectively. If N(j) is 0, then no values for S(j) are stored, and the value for N(j-1) immediately follows.

Immediately after the follower sets, is the compressed data stream. The compressed data stream can be interpreted for the probabilistic decompression as follows:

output stream.

assign the last value placed on the output stream to Last-Character. end loop B(N(j)) is defined as the minimal number of bits required to encode the value N(j)-1. The decompressed stream from above can then be expanded to re-create the original file as follows: let State <- 0.</pre> loop until done read 8 bits from the input stream into C. case State of 0: if C is not equal to DLE (144 decimal) then copy C to the output stream. otherwise if C is equal to DLE then let State <- 1. 1: if C is non-zero then let $V \leftarrow C$. let Len <- L(V) let State <- F(Len). otherwise if C is zero then copy the value 144 (decimal) to the output stream. let State <- 0 2: let Len <- Len + C let State <- 3.</pre> move backwards D(V,C) bytes in the output stream (if this position is before the start of the output stream, then assume that all the data before the start of the output stream is filled with zeros). copy Len+3 bytes from this position to the output stream. let State <- 0. end case end loop The functions F,L, and D are dependent on the 'compression factor', 1 through 4, and are defined as follows: For compression factor 1: L(X) equals the lower 7 bits of X.

F(X) equals 2 if X equals 127 otherwise F(X) equals 3.

D(X,Y) equals the (upper 1 bit of X) * 256 + Y + 1.

For compression factor 2: L(X) equals the lower 6 bits of X. F(X) equals 2 if X equals 63 otherwise F(X) equals 3. D(X,Y) equals the (upper 2 bits of X) * 256 + Y + 1. For compression factor 3: L(X) equals the lower 5 bits of X.
F(X) equals 2 if X equals 31 otherwise F(X) equals 3.
D(X,Y) equals the (upper 3 bits of X) * 256 + Y + 1. For compression factor 4: L(X) equals the lower 4 bits of X.

Imploding - Method 6

F(X) equals 2 if X equals 15 otherwise F(X) equals 3. D(X,Y) equals the (upper 4 bits of X) * 256 + Y + 1.

The Imploding algorithm is actually a combination of two distinct algorithms. The first algorithm compresses repeated byte sequences using a sliding dictionary. The second algorithm is used to compress the encoding of the sliding dictionary output, using multiple Shannon-Fano trees.

The Imploding algorithm can use a 4K or 8K sliding dictionary size. The dictionary size used can be determined by bit 1 in the general purpose flag word; a 0 bit indicates a 4K dictionary while a 1 bit indicates an 8K dictionary.

The Shannon-Fano trees are stored at the start of the compressed file. The number of trees stored is defined by bit 2 in the general purpose flag word; a 0 bit indicates two trees stored, a 1 bit indicates three trees are stored. If 3 trees are stored, the first Shannon-Fano tree represents the encoding of the Literal characters, the second tree represents the encoding of the Length information, the third represents the encoding of the Distance information. When 2 Shannon-Fano trees are stored, the Length tree is stored first, followed by the Distance tree.

The Literal Shannon-Fano tree, if present is used to represent the entire ASCII character set, and contains 256 values. This tree is used to compress any data not compressed by the sliding dictionary algorithm. When this tree is present, the Minimum Match Length for the sliding dictionary is 3. If this tree is not present, the Minimum Match Length is 2.

The Length Shannon-Fano tree is used to compress the Length part of the (length, distance) pairs from the sliding dictionary output. The Length tree contains 64 values, ranging from the Minimum Match Length, to 63 plus the Minimum Match Length.

The Distance Shannon-Fano tree is used to compress the Distance part of the (length, distance) pairs from the sliding dictionary output. The Distance tree contains 64 values, ranging from 0 to 63, representing the upper 6 bits of the distance value. The distance values themselves will be between 0 and the sliding dictionary size, either 4K or 8K.

The Shannon-Fano trees themselves are stored in a compressed format. The first byte of the tree data represents the number of bytes of data representing the (compressed) Shannon-Fano tree minus 1. The remaining bytes represent the Shannon-Fano tree data encoded as:

High 4 bits: Number of values at this bit length $+\ 1.\ (1-16)$ Low 4 bits: Bit Length needed to represent value $+\ 1.\ (1-16)$

The Shannon-Fano codes can be constructed from the bit lengths using the following algorithm:

- Sort the Bit Lengths in ascending order, while retaining the order of the original lengths stored in the file.
- 2) Generate the Shannon-Fano trees:

```
Code <- 0
CodeIncrement <- 0
LastBitLength <- 0
i <- number of Shannon-Fano codes - 1 (either 255 or 63)
Page 19
```

```
loop while i >= 0
    Code = Code + CodeIncrement
    if BitLength(i) <> LastBitLength then
        LastBitLength=BitLength(i)
        CodeIncrement = 1 shifted left (16 - LastBitLength)
    ShannonCode(i) = Code
    i <- i - 1
end loop</pre>
```

- 3) Reverse the order of all the bits in the above ShannonCode() vector, so that the most significant bit becomes the least significant bit. For example, the value 0x1234 (hex) would become 0x2C48 (hex).
- 4) Restore the order of Shannon-Fano codes as originally stored within the file.

Example:

This example will show the encoding of a Shannon-Fano tree of size 8. Notice that the actual Shannon-Fano trees used for Imploding are either 64 or 256 entries in size.

Example: 0x02, 0x42, 0x01, 0x13

The first byte indicates 3 values in this table. Decoding the bytes:

0x42 = 5 codes of 3 bits long
0x01 = 1 code of 2 bits long
0x13 = 2 codes of 4 bits long

This would generate the original bit length array of: (3, 3, 3, 3, 2, 4, 4)

There are 8 codes in this table for the values 0 thru 7. Using the algorithm to obtain the Shannon-Fano codes produces:

val	Sorted	Constructed Code	Reversed Value	Order Restored	Original Length
0:	2	11000000000000000	11	101	3
ĭ:	3	10100000000000000	101	001	3
2:	3	10000000000000000	001	110	3
3:	3	01100000000000000	110	010	3
4:	3	01000000000000000	010	100	3
5:	3	00100000000000000	100	11	2
6:	4	00010000000000000	1000	1000	4
7:	4	0000000000000000	0000	0000	4

The values in the Val, Order Restored and Original Length columns now represent the Shannon-Fano encoding tree that can be used for decoding the Shannon-Fano encoded data. How to parse the variable length Shannon-Fano values from the data stream is beyond the scope of this document. (See the references listed at the end of this document for more information.) However, traditional decoding schemes used for Huffman variable length decoding, such as the Greenlaw algorithm, can be successfully applied.

The compressed data stream begins immediately after the compressed Shannon-Fano data. The compressed data stream can be interpreted as follows:

loop until done
 read 1 bit from input stream.

if this bit is non-zero then (encoded data is literal data) if Literal Shannon-Fano tree is present

read and decode character using Literal Shannon-Fano tree.

otherwise

read 8 bits from input stream.

copy character to the output stream.

otherwise (encoded data is sliding dictionary match) if 8K dictionary size

read 7 bits for offset Distance (lower 7 bits of offset).

otherwise

read 6 bits for offset Distance (lower 6 bits of offset).

using the Distance Shannon-Fano tree, read and decode the upper 6 bits of the Distance value.

using the Length Shannon-Fano tree, read and decode the Length value.

Length <- Length + Minimum Match Length

if Length = 63 + Minimum Match Length read 8 bits from the input stream, add this value to Length.

move backwards Distance+1 bytes in the output stream, and copy Length characters from this position to the output stream. (if this position is before the start of the output stream, then assume that all the data before the start of the output stream is filled with zeros).

end loop

Tokenizing - Method 7

This method is not used by PKZIP.

Deflating - Method 8

The Deflate algorithm is similar to the Implode algorithm using a sliding dictionary of up to 32K with secondary compression from Huffman/Shannon-Fano codes.

The compressed data is stored in blocks with a header describing the block and the Huffman codes used in the data block. The header format is as follows:

Bit 0: Last Block bit This bit is set to 1 if this is the last compressed block in the data.

Bits 1-2: Block type
00 (0) - Block is stored - All stored data is byte aligned.
Skip bits until next byte, then next word = block
length, followed by the ones compliment of the block
length word. Remaining data in block is the stored
data.

Page 21

144 - 255 256 - 279 $280 - \overline{287}$

Literal codes 286-287 and distance codes 30-31 are never used but participate in the huffman construction.

- 10 (2) Dynamic Huffman codes. (See expanding Huffman codes)
- 11 (3) Reserved Flag a "Error in compressed data" if seen.

Expanding Huffman Codes

If the data block is stored with dynamic Huffman codes, the Huffman codes are sent in the following compressed format:

5 Bits: # of Literal codes sent - 256 (256 - 286) All other codes are never sent.

5 Bits: # of Dist codes - 1 (1 - 32)

4 Bits: # of Bit Length codes - 3 (3 - 19)

The Huffman codes are sent as bit lengths and the codes are built as described in the implode algorithm. The bit lengths themselves are compressed with Huffman codes. There are 19 bit length codes:

The lengths of the bit length codes are sent packed 3 bits per value (0 - 7) in the following order:

16, 17, 18, 0, 8, 7, 9, 6, 10, 5, 11, 4, 12, 3, 13, 2, 14, 1, 15

The Huffman codes should be built as described in the Implode algorithm except codes are assigned starting at the shortest bit length, i.e. the shortest code should be all 0's rather than all 1's. Also, codes with a bit length of zero do not participate in the tree construction. codes are then used to decode the bit lengths for the literal and distance tables.

The bit lengths for the literal tables are sent first with the number of entries sent described by the 5 bits sent earlier. There are up to 286 literal characters; the first 256 represent the respective 8 bit character, code 256 represents the End-Of-Block code, the remaining 29 codes represent copy lengths of 3 thru 258. There are up to 30 distance codes representing distances from 1 thru 32k as described below.

Lenath Codes

Code	Extra Bits	ı Length	Code	Extra Bits		Code	Extra Bits	a Lengths	Code	Extra Bits	a Length(s)
257 258 259 260 261	0 0 0 0	3 4 5 6	265 266 267 268 269	1 1 1 1 2	11,12 13,14 15,16 17,18 19-22	273 274 275 276 277	3 3 3 3 4	35-42 43-50 51-58 59-66 67-82	281 282 283 284 285	5 5 5 5	131-162 163-194 195-226 227-257 258
201	J	•	203	_		Page	22				

						Appnote	.tx1	t
262	0	8	270	2	23-26	278	4	83-98
263	Ō	9	271	2	27-30	279	4	99-114
264	Ō	10	272	2	31-34	280	4	115-130

Distance Codes

	Extr	'a		Extr	a		Extr	a		Extra
Code	Bits	Dist	Code	Bits	Dist	Code	Bits	Distance	Code	Bits Distance
0	0	1	8	3	17-24	16	7	257-384	24	11 4097-6144
ĺ	Ō	2	9	3	25-32	17	7	385-512	25	11 6145-8192
2	0	3	10	4	33-48	18	8	513-768	26	12 8193-12288
3	0	4	11	4	49-64	19	8	769-1024	27	12 12289-16384
4	1	5,6	12	5	65-96	20	9	1025-1536	28	13 16385-24576
5	1	7,8	13	5	97-128	21	9	1537-2048	29	13 24577-32768
6	2	9-12	14	6	129-192	22	10	2049-3072		
7	2	13-16	15	6	193-256	23	10	3073-4096		

The compressed data stream begins immediately after the compressed header data. The compressed data stream can be interpreted as follows:

do

read header from input stream.

if stored block
 skip bits until byte aligned
 read count and 1's compliment of count
 copy count bytes data block
otherwise
 loop until end of block code sent
 decode literal character from input stream
 if literal < 256
 copy character to the output stream
 otherwise
 if literal = end of block
 break from loop
 otherwise
 decode distance from input stream</pre>

move backwards distance bytes in the output stream, and copy length characters from this position to the output stream.

end loop while not last block

if data descriptor exists
 skip bits until byte aligned
 read crc and sizes
endif

Enhanced Deflating - Method 9

The Enhanced Deflating algorithm is similar to Deflate but uses a sliding dictionary of up to 64K. Deflate64(tm) is supported by the Deflate extractor.

BZIP2 - Method 12

BZIP2 is an open-source data compression algorithm developed by Julian Seward. Information and source code for this algorithm
Page 23

can be found on the internet.

Traditional PKWARE Encryption

The following information discusses the decryption steps required to support traditional PKWARE encryption. This form of encryption is considered weak by todays standards and its use is recommended only for situations with low security needs or for compatiblity with older .ZIP applications.

Decryption

The encryption used in PKZIP was generously supplied by Roger Schlafly. PKWARE is grateful to Mr. Schlafly for his expert help and advice in the field of data encryption.

PKZIP encrypts the compressed data stream. Encrypted files must be decrypted before they can be extracted.

Each encrypted file has an extra 12 bytes stored at the start of the data area defining the encryption header for that file. The encryption header is originally set to random values, and then itself encrypted, using three, 32-bit keys. The key values are initialized using the supplied encryption password. After each byte is encrypted, the keys are then updated using pseudo-random number generation techniques in combination with the same CRC-32 algorithm used in PKZIP and described elsewhere in this document.

The following is the basic steps required to decrypt a file:

- Initialize the three 32-bit keys with the password.
 Read and decrypt the 12-byte encryption header, further initializing the encryption keys.
- 3) Read and decrypt the compressed data stream using the encryption keys.

Step 1 - Initializing the encryption keys

```
Key(0) <- 305419896
Key(1) <- 591751049
Key(2) < -878082192
```

loop for i <- 0 to length(password)-1</pre> update_keys(password(i)) end loop

Where update_keys() is defined as:

```
update_keys(char):
  Key(0) <- crc32(key(0),char)
Key(1) <- Key(1) + (Key(0) & 000000ffH)
Key(1) <- Key(1) * 134775813 + 1
   Key(2) \leftarrow crc32(key(2), key(1) >> 24)
end update_keys
```

Where crc32(old_crc,char) is a routine that given a CRC value and a character, returns an updated CRC value after applying the CRC-32 algorithm described elsewhere in this document.

Step 2 - Decrypting the encryption header

The purpose of this step is to further initialize the encryption keys, based on random data, to render a plaintext attack on the data ineffective.

Read the 12-byte encryption header into Buffer, in locations Buffer(0) thru Buffer(11).

where decrypt_byte() is defined as:

```
unsigned char decrypt_byte()
  local unsigned short temp
  temp <- Key(2) | 2
  decrypt_byte <- (temp * (temp ^ 1)) >> 8
end decrypt_byte
```

After the header is decrypted, the last 1 or 2 bytes in Buffer should be the high-order word/byte of the CRC for the file being decrypted, stored in Intel low-byte/high-byte order. Versions of PKZIP prior to 2.0 used a 2 byte CRC check; a 1 byte CRC check is used on versions after 2.0. This can be used to test if the password supplied is correct or not.

Step 3 - Decrypting the compressed data stream

The compressed data stream can be decrypted as follows:

```
loop until done
    read a character into C
    Temp <- C ^ decrypt_byte()
    update_keys(temp)
    output Temp
end loop</pre>
```

Strong Encryption (EFS)

Version 5.x of this specification includes support for strong encryption algorithms. These algorithms can be used with either a password or an X.509v3 digital certificate to encrypt each file. This format specification supports either password or certificate based encryption to meet the security needs of today, to enable interoperability between users within both PKI and non-PKI environments, and to ensure interoperability between different computing platforms that are running a ZIP program.

Password based encryption is the most common form of encryption people are familiar with. However, inherent weaknesses with passwords (e.g. susceptibility to dictionary/brute force attack) as well as password management and support issues make certificate based encryption a more secure and scalable option. Industry efforts and support are defining and moving towards more advanced security solutions built around X.509v3 digital certificates and Page 25

Public Key Infrastructures(PKI) because of the greater scalability, administrative options, and more robust security over traditional password-based encryption.

Most standard encryption algorithms are supported with this specification. Reference implementations for many of these algorithms are available from either commercial or open source distributors. Readily available cryptographic toolkits make implementation of the encryption features straight-forward.

The algorithms introduced in Version 5.0 of this specificaion include:

RC2 40 bit, 64 bit, and 128 bit RC4 40 bit, 64 bit, and 128 bit 3DES 112 bit and 168 bit

version 5.1 adds support for the following:

AES 128 bit, 192 bit, and 256 bit

The details of the strong encryption specification for certificates remain under development as design and testing issues are worked out for the range of algorithms, encryption methods, certificate processing and cross-platform support necessary to meet the advanced security needs of .ZIP file users today and in the future.

This feature specification is intended to support basic encryption needs of today, such as password support. However this specification is also designed to lay the foundation for future advanced security needs.

Password-based encryption using strong encryption algorithms operates similarly to the traditional PKWARE encryption defined in this format. Additional data structures are added to support the processing needs of the strong algorithms.

The Strong Encryption data structures are:

- 1. Bits 0 and 6 of the General Purpose bit flag in both local and central header records. Both bits set indicates strong encryption.
- 2. Extra Field 0x0017 in central header only.

Fields to consider in this record are:

Format - the data format identifier for this record. The only value allowed at this time is the integer value 2.

AlgId - integer identifier of the encryption algorithm from the following range

0x6601 - DES 0x6602 - RC2 (version needed to extract < 5.2) 0x6603 - 3DES 168 0x6609 - 3DES 112 0x660E - AES 128 0x660F - AES 192 0x6610 - AES 256

```
Appnote.txt

0x6702 - RC2 (version needed to extract >= 5.2)
0x6801 - RC4
0xFFFF - Unknown algorithm

Bitlen - Explicit bit length of key

40
64
112
128
192
256
```

Flags - Processing flags needed for decryption

```
0x0001 - Password is required to decrypt
0x0002 - reserved for certificates only
0x0003 - Password or certificate required to decrypt
values > 0x0003 reserved for certificate processing
```

3. Decryption header record preceeding compressed file data.

-Decryption Header:

Value	Size	Description
IVSize	2 bytes	Size of initialization vector (IV)
IVData	IVSize	Initialization vector for this file
Format	2 bytes	Format definition for this record
AlgID	2 bytes	Encryption algorithm identifier
Bitlen	2 bytes	Bit length of encryption key
Flags	2 bytes	Processing flags
ErdŠize	2 bytes	Size of Encrypted Random Data
ErdData	ErdSize	Encrypted Random Data
Reserved1	4 bytes	Reserved certificate data
Reserved2	(var)	Reserved for certificate data
VSize	2 bytes	Size of password validation data
VData	vsize-4	Password validation data
VCRC32	4 bytes	CRC32 of password validation data

IVData - The size of the IV should match the algorithm block size. The IVData can be completely random data. If the size of the randomly generated data does not match the block size it should be complemented with zero's. If IVSize is 0, then IV = CRC32 + 64-bit File Size.

Format - the data format identifier for this record. The only value allowed at this time is the integer value 3.

AlgId - integer identifier of the encryption algorithm from the following range

```
0x6601 - DES
0x6602 - RC2 (version needed to extract < 5.2)
0x6603 - 3DES 168
0x6609 - 3DES 112
0x660E - AES 128
0x660F - AES 192
0x6610 - AES 256
0x6702 - RC2 (version needed to extract >= 5.2)
0x6801 - RC4
```

Page 27

OxFFFF - Unknown algorithm

Bitlen - Explicit bit length of key

40 64

112

128

192 256

Flags - Processing flags needed for decryption

0x0001 - Password is required to decrypt 0x0002 - reserved for certificates only 0x0003 - Password or certificate required to decrypt

Values > 0x0003 reserved for certificate processing

ErdData - Encrypted random data is used to generate a file session key for encrypting each file. SHA1 is used to calculate hash data used to derive keys File session keys are deived from a master session key generated from the user-supplied password.

Reserved1 - Reserved for certificate processing, if value is zero, then Reserved2 data is absent.

VSize - This size value will always include the 4 bytes of the VCRC32 data and will be greater than 4 bytes.

VData - Random data for password validation. This data is VSize in length and VSize must be a multiple of the encryption block size. VCRC32 is a checksum value of VData. VData, and VCRC32 are stored encrypted and start the stream of encrypted data for a file.

Strong Encryption is always applied to a file after compression. The block oriented algorithms all operate in Cypher Block Chaining (CBC) The block size used for AES encryption is 16. All other block algorithms use a block size of 8. Two ID's are defined for RC2 to account for a discrepancy found in the implementation of the RC2 algorithm in the cryptographic library on Windows XP SP1 and all earlier versions of Windows.

A pseudo-code representation of the encryption process is as follows:

Password = GetUserPassword() RD = Random()ERD = Encrypt(RD,DeriveKey(SHA1(Password))) For Each File IV = Random()VData = Random() FileSessionKey = DeriveKey(SHA1(RD, IV)) Encrypt(VData + FileData, FileSessionKey) Done

The function names and parameter requirements will depend on the choice of the cryptographic toolkit selected. Almost any toolkit supporting the reference implementations for each algorithm can be used. The RSA BSAFE(r), OpenSSL, and Microsoft's CryptoAPI libraries are all known to work well.

Change Process

In order for the .ZIP file format to remain a viable definition, this specification should be considered as open for periodic review and revision. Although this format was originally designed with a certain level of extensibility, not all changes in technology (present or future) were or will be necessarily considered in its design. If your application requires new definitions to the extensible sections in this format, or if you would like to submit new data structures, please forward your request to zipformat@pkware.com. All submissions will be reviewed by the ZIP File Specification Committee for possible inclusion into future versions of this specification. Periodic revisions to this specification will be published to ensure interoperability.

Acknowledgements

In addition to the above mentioned contributors to PKZIP and PKUNZIP, I would like to extend special thanks to Robert Mahoney for suggesting the extension .ZIP for this software.

References:

- Fiala, Edward R., and Greene, Daniel H., "Data compression with finite windows", Communications of the ACM, Volume 32, Number 4, April 1989, pages 490-505.
- Held, Gilbert, "Data Compression, Techniques and Applications, Hardware and Software Considerations", John Wiley & Sons, 1987.
- Huffman, D.A., "A method for the construction of minimum-redundancy codes", Proceedings of the IRE, Volume 40, Number 9, September 1952, pages 1098-1101.
- Nelson, Mark, "LZW Data Compression", Dr. Dobbs Journal, Volume 14, Number 10, October 1989, pages 29-37.
- Nelson, Mark, "The Data Compression Book", M&T Books, 1991.
- Storer, James A., "Data Compression, Methods and Theory", Computer Science Press, 1988
- Welch, Terry, "A Technique for High-Performance Data Compression", IEEE Computer, Volume 17, Number 6, June 1984, pages 8-19.
- Ziv, J. and Lempel, A., "A universal algorithm for sequential data compression", Communications of the ACM, Volume 30, Number 6, June 1987, pages 520-540.
- Ziv, J. and Lempel, A., "Compression of individual sequences via variable-rate coding", IEEE Transactions on Information Theory, Volume 24, Number 5, September 1978, pages 530-536.